



Attorney Docket No. YOR000140US1

CONFIRMATION NO. 1280

DATE OF NOTICE OF ALLOWANCE: January 4, 2005

SERIAL NO. 09/575,553

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Patent Application**

Applicant(s): J.L. Hellerstein et al.  
Docket No.: YOR000140US1  
Serial No.: 09/575,553  
Filing Date: May 22, 2000  
Group: 2121  
Examiner: Michael B. Holmes

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature: 

Date: March 7, 2005

Title: Method and System for Recognizing  
End-User Transactions

---

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

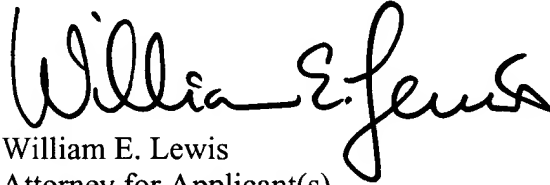
Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following document listed on the accompanying Form PTO-1449: Peter J. Houston, "Encina Monitor," Extending DCE for Business - Critical Computing with the Encina Monitor, pp. 291-303, 1995. A copy of the listed document is enclosed along with a copy of the foreign Office Action that cited the reference.

In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

The filing of this Second Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "William E. Lewis". The signature is fluid and cursive, with the first name "William" being the most prominent part.

Date: March 7, 2005

William E. Lewis  
Attorney for Applicant(s)  
Reg. No. 39,274  
Ryan, Mason & Lewis, LLP  
90 Forest Avenue  
Locust Valley, NY 11560  
(516) 759-2946

FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT



Applicants: J.L. Hellerstein et al.  
Docket No.: YOR000140US1  
Serial No.: 09/575,553  
Filing Date: May 22, 2000  
Group: 2121

U.S. PATENT DOCUMENTS

EXAMINER				FILING DATE
INITIAL	DOCUMENT NO.	DATE	NAME	IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER				TRANSLATION	
INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	YES NO
1. Peter J. Houston, "Encina Monitor," Extending DCE for Business - Critical Computing with the Encina Monitor, pp. 291-303, 1995.					

OTHER DOCUMENTS

EXAMINER		
INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.